

Principal Global Investors Funds

Dealing Instruction Form



For all initial investments, Principal Global Investors Funds requires a fully completed original application form (available from www.principalam.com/eu/investment-products/ucits-funds). When completed, please fax all trades to +44 207 964 2667. Email trades are not accepted. For more information about completing this form, please contact the BNYM dealing team on + 353 1 900 8081, or alternatively you can email pgi.ta@bnymellon.com. Please provide contact details should we need to query this trade.

Please use **BLOCK CAPITALS** and black ink or type into fields:

Investor/Company name: _____

Contact name: _____

Designation / Known to manager as *: _____

Tel / Fax number: _____

Date of instruction: _____

Email address: _____

*If applicable.

Subscription/Redemption/Switch (indicate ONE only)	Account number	Fund and Unit Class Name	ISIN Code ¹	Please complete ONE only	
				Cash trade value ²	Number of units

¹ISIN codes for all live unit classes are available from www.principalam.com/eu/investment-products/ucits-funds

² For minimum initial investment guidelines, please review the fund fact sheet or supplement.

Redemption payments will be made only to accounts specified on the original account opening form, or as subsequently specified through an original signed instruction. Any changes must be communicated in writing with appropriate authorised signatories before redemptions will be paid. All corporate correspondence must be on company headed paper. Trade instructions submitted after dealing cut-off will be placed for the next available trade date. I/we confirm that I/we am/are a holder of unit(s) of a unit class or unit classes in a sub-fund, or sub-funds of the Unit Trust. I/we acknowledge and confirm my/our full understanding and agreement with the statements made herein To the extent that I/we are resident, domiciled and/or undertaking the transactions detailed in this form within the European Economic Area (“EEA”) or Switzerland, I/we declare: I/we understand we may receive the Prospectus and/or KIID in hard copy free of charge upon request but confirm that it is my/our preference to receive the Prospectus and KIID by way of electronic delivery and that these documents have been provided to me/us (electronically or by hard copy) in good time and prior to making an application for Units and prior to any subsequent subscription for, or exchange of Units or new subscription for Units as may be instructed hereby; I/we acknowledge that and consent to the updated KIID(s) for each Unit class being made available from www.principalam.com/eu or such other website address as may be notified to me/us electronically from time to time and I/we will read the most up-to date version of the relevant KIID(s) prior to making any subsequent subscription for, or exchange of Units or new subscription for Units as may be instructed hereby; Where I/we are transacting as nominee I/we shall provide our clients with the KIID(s) issued in respect of the relevant Unit class(es) and I/we shall do so in compliance with Commission Regulation (EU) No. 583/2010 (as such shall be updated or amended from time to time) and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. Further I/we shall maintain records of my/our provision of the KIID(s) to our clients and shall furnish such records to the Manager or its delegates, upon request; I/we have read and understood the nature and risks associated with the transactions in Units detailed in this form as set out in the Prospectus; I/we acknowledge that I/we have regular access to the internet and that the provision of information to me/us by means of electronic delivery is appropriate. Electronic delivery includes email delivery to the email address agreed with the Manager from time to time and/or electronically making available such documentation or information on a website.

Information regarding the costs and charges associated with the Fund is provided in the MiFID II ex-ante disclosure which is available on our website at www.principalam.com/eu. You are advised to read it so you can make an informed decision about whether to invest. Any person selling or advising you about the Fund may charge you additional costs and you should request information about those costs and charges from that person.

Authorised Signatories

Print Name		Signature
Title		
Date	<div></div> <div></div> <div></div> <div>/</div> <div></div> <div></div> <div></div> <div>/</div> <div></div> <div></div> <div></div>	

Print Name		Signature
Title		
Date	<div></div> <div></div> <div></div> <div>/</div> <div></div> <div></div> <div></div> <div>/</div> <div></div> <div></div> <div></div>	